## **EXHIBIT B**

## SIPC v. BLMIS

08-01789 (CGM)

## ACTIVE GOOD FAITH CASES<sup>1</sup>

APN	<b>DEFENDANT(S)</b>	CASE STATUS
10-04352	RAR Entrepreneurial Fund LTD, et al.	Appeal pending in Second Circuit <sup>2</sup>
10-04362	Sage Associates, et al.	Appeal pending in Second Circuit <sup>2</sup>
10-04400	Sage Realty, et al.	Appeal pending in Second Circuit <sup>2</sup>
10-04468	Ken-Wen Family Limited Partnership, et al.	Appeal pending in S.D.N.Y. <sup>3</sup>
10-04667	David Gross, et al.	
10-04669	Zieses Investment Partnership, et al.	Summary Judgment Motion pending in S.D.N.Y. <sup>3</sup>
10-04709	Andrew M. Goodman	Motion to Withdraw the Reference pending in S.D.N.Y. <sup>3</sup>
10-05079	Estate of James M. Goodman, et al.	Motion to Withdraw the Reference pending in S.D.N.Y. <sup>3</sup>
10-05083	The S. James Coppersmith Charitable Remainder Unitrust, et al.	
10-05380	Srione, LLC, an Idaho limited liability company, et al.	Stipulation for Entry of Judgment entered January 3, 2023
10-05394	Glantz	Defendant's Chapter 7 Bankruptcy pending in the U.S. Bankruptcy Court, Northern District of California, Case No. 20-30749 (DM)
TOTAL: 11		

<sup>&</sup>lt;sup>1</sup>Two cases were settled and the parties entered into settlement agreements during the Report Period. *See Picard v. Sharon Knee*, Adv. Pro. No. 10-04986 and *Picard v. Fairfield Pagma Associates, L.P., et al.*, Adv. Pro. No. 10-05169. The Bankruptcy Court has closed both of these matters.

<sup>&</sup>lt;sup>2</sup> See RAR Entrepreneurial Fund, Ltd. v. Picard, 22-3006 (2d. Cir.); Malcolm H. Sage v. Picard, 22-1107 (2d Cir.); Malcolm H. Sage v. Picard, 22-1110 (2d Cir.).

<sup>&</sup>lt;sup>3</sup>See Picard v. Kenneth W. Brown, No. 22-cv-03882 (VEC) (S.D.N.Y.); Picard v. Zieses Investment Partnership, et al., No. 20-cv-02872 (VSB) (S.D.N.Y.); Picard v. Goodman, No. 20-cv-04767 (MKV) (S.D.N.Y.); Picard v. Estate of James M. Goodman, No. 21-cv-01763 (ER) (S.D.N.Y.)